

TENNESSEE ETHICS COMMISSION

The Tennessee Ethics Committee met Wednesday, April 20, 2016, at Suite 104 of Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.

Presiding over the meeting was Chairperson Tammy White. Attending the meeting were board members Greg Hardeman, Jim Stranch, Charles Traughber and Frank Watson, III.

Election of Officers

A motion was made by Traughber, seconded by Stranch, to name Tammy White as chairperson for 2016 by acclamation.

Approval of Meeting Minutes

On motion by Hardeman, seconded by Stranch, the board voted 4 to 0 to approve the minutes from the October 9, 2015 regular board meeting, with Traughber “abstaining.”

Requests for Reconsideration

2015-020 Chris Ford, for failure to timely register as a lobbyist. Class one (1), 23 days late, maximum five hundred seventy-five (\$575) civil penalty. Mr. Ford has had a previous matter before the board.

The notice of civil penalty proceedings was issued May 6, 2015.

Mr. Ford did not respond to the civil penalty proceedings.

The board voted at its June 3, 2015 meeting to assess Mr. Ford a \$50 civil penalty for failing to timely register as a lobbyist. Mr. Ford requested a reconsideration of the \$50 civil penalty assessment.

Mr. Ford personally appeared before the board and provided a statement for the board’s consideration.

On motion by Stranch, seconded by Hardeman, the board voted unanimously to reconsider the civil penalty assessment and reduce the civil penalty from \$50 to \$0.

2008-41 & 2008-41A Marc Harris – Clarksville Councilman (Montgomery Co.), for failure to file a 2008 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Harris has had a previous matter before the board.

The notice of civil penalty proceedings (class one) was issued June 6, 2008.

The board was informed that there was no response to the notice of civil penalty proceedings and that the report had not been filed.

The board voted at its July 22, 2008 meeting to assess Mr. Harris a \$750 (class one maximum) civil penalty assessment.

A notice of civil penalty proceedings (class two) was issued October 20, 2008.

The board was informed that there was no response to the notice of civil penalty proceedings and that the report had not been filed.

The board voted at its December 16, 2008 meeting to assess Mr. Harris a \$9,250 (class two maximum) civil penalty assessment.

Mr. Harris requested a reconsideration of the \$10,000 civil penalty assessment.

Director Rawlins informed the board that Mr. Harris was on a payment plan with the Commission and has an outstanding balance of \$8,100 in this matter.

Mr. Harris and Michelle Harris personally appeared before the board and submitted statements for the board's consideration.

On motion by Stranch, seconded by Hardeman, the board voted unanimously to reconsider the civil penalty assessment and remit the remaining civil penalty balance of \$8,100.

Informal Civil Penalty Assessment Proceedings – Local Candidates

2016-004 Nina Ground – Metro Nashville/Davidson County Council, for failure to timely file a 2016 statement of interests. Class one (1), 11 days late, maximum two hundred seventy-five (\$275) civil penalty. Ms. Ground has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 21, 2016.

Ms. Ground personally appeared before the board and submitted a statement for the board's consideration.

On motion by Traughber, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-001 Jamita Swearengen – Memphis City Council (Shelby Co.), for failure to timely file a 2016 statement of interests. Class one (1), 11 days late, maximum two hundred seventy-five (\$275) civil penalty. Ms. Swearengen has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Traughber, the board voted unanimously to assess Ms. Swearengen a \$25 civil penalty.

2016-002 Leo Awgowhat – Shelby County Mayor, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Awgowhat has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess Mr. Awgowhat a \$1,000 civil penalty.

2016-003 Eric Dunn – Shelby County City Council, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Dunn has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess Mr. Dunn a \$1,000 civil penalty.

2016-005 Brian Groves – Alderman, Arlington (Shelby Co.), for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Groves has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess Mr. Groves a \$1,000 civil penalty.

2016-006 Antonio Harris – Memphis City Court Clerk (Shelby Co.), for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Harris has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that the report has been filed but that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess Mr. Harris a \$100 civil penalty.

2016-007 Adrian Jones – Memphis City Council (Shelby Co.), for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Jones has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess Mr. Jones a \$1,000 civil penalty.

2016-008 Jennifer Mirtes – Knoxville City Council (Knox Co.), for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Mirtes has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess Ms. Mirtes a \$1,000 civil penalty.

Informal Civil Penalty Assessment Proceedings – Local Officials

2016-009 Karen Ashford – Cannon County Commissioner, for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Ms. Ashford has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-054 Oscar Brooks, Sr. – Alderman (Shelby Co.), for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Brooks has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 21, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-019 Jennifer Hedge – Camden Planning Commission (Benton Co.), for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Ms. Hedge has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Ms. Hedge submitted a statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-021 L. Charles Hensley – Oak Ridge Councilman (Anderson Co.), for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Hensley has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-022 Keith Hudson – Alderman (Williamson Co.), for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Hudson has had a previous matter before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Traughber, the board voted unanimously to take no further action in this matter.

2016-064 Michael Justice – Wilson County Commissioner, for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Justice has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-025 D. Marty Lasley – Soddy Daisy City Court Judge (Hamilton Co.), for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Lasley has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-027 Ronald Cotton Legans – Constable (Weakley Co.), for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Legans has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Mr. Legans submitted a statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-095 Jeffrey M. Marlow – Alderman (Loudon Co.), for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Marlow has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-096 Lynn A. Marlow – Alderman (Loudon Co.), for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Ms. Marlow has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-030 Jim McLean – Metro Nashville/Davidson County Planning Commission, for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. McLean has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-032 Angeleque McNutt – Anderson County Commissioner, for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Ms. McNutt has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-034 Beverly Moran – Davidson County Democratic Executive Committee, for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Ms. Moran has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Ms. Moran submitted a statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-037 Don T. Reed – McLemoresville Alderman (Carroll Co.), for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Reed has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-040 Leslie Ray Wakefield – Constable (Anderson Co.), for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Wakefield has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-041 Mark A. Williams – Manchester Planning Commissioner (Coffee Co.), for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Williams has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-042 Danny Willis – Dyer County Planning Commissioner, for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Willis has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Mr. Willis submitted a statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-043 Ronald Woods – Haywood County Planning Commissioner, for failure to timely file a 2016 statement of interests. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Woods has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-012 Josh Carter – Alderman (Lincoln Co.), for failure to timely file a 2016 statement of interests. Class one (1), two days late, maximum fifty (\$50) civil penalty. Mr. Carter has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-013 John Casselberry – Alderman of Lexington (Henderson Co.), for failure to timely file a 2016 statement of interests. Class one (1), two days late, maximum fifty (\$50) civil penalty. Mr. Casselberry has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-014 Glenda Caudle – Union City School Board (Obion Co.), for failure to timely file a 2016 statement of interests. Class one (1), two days late, maximum fifty (\$50) civil penalty. Ms. Caudle has had a previous matter before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Stranch, seconded by Watson, the board voted unanimously to take no further action in this matter.

2016-029 Alicia McClary – Townsend Planning Commissioner (Blount Co.), for failure to timely file a 2016 statement of interests. Class one (1), two days late, maximum fifty (\$50) civil penalty. Ms. McClary has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Ms. McClary submitted a sworn statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-031 Bryan McMillian – Polk County Zoning Committee, for failure to timely file a 2016 statement of interests. Class one (1), two days late, maximum fifty (\$50) civil penalty. Mr. McMillian has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-033 Bettye M. Milton – Galloway Planning Commissioner (Fayette Co.), for failure to timely file a 2016 statement of interests. Class one (1), two days late, maximum fifty (\$50) civil penalty. Ms. Milton has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-065 Chris Beasley – Constable (Grainger Co.), for failure to timely file a 2016 statement of interests. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. Mr. Beasley has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-015 Charles C. Cooper – Alderman of Jamestown (Fentress Co.), for failure to timely file a 2016 statement of interests. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. Mr. Cooper has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-057 Austin Thompson, Jr. – School Board (Lauderdale Co.), for failure to timely file a 2016 statement of interests. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. Mr. Thompson has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 21, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-020 Scott Hembree – Benton City Planning Commissioner (Polk Co.), for failure to timely file a 2016 statement of interests. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. Mr. Hembree has had previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Mr. Hembree submitted a statement for the board's consideration.

A motion was made by Hardeman, seconded by Watson, to assess Mr. Hembree a \$50 civil penalty. Hardeman then amended the motion, not accepted by Watson, to assess Mr. Hembree a \$25 civil penalty. The motion to assess Mr. Hembree a \$25 civil penalty died for a lack of a second. A motion was then made by Watson, seconded by Stranch, to take no further action. The motion to take no further action in this matter passed the board unanimously.

2016-023 Steve Hunter – McNairy County Commissioner, for failure to timely file a 2016 statement of interests. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. Mr. Hunter has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Mr. Hunter submitted a sworn statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-026 Seth Layne – Alderman of Tracy City (Grundy Co.), for failure to timely file a 2016 statement of interests. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. Mr. Layne has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-036 Austin Potter – Road Commissioner (Dickson Co.), for failure to timely file a 2016 statement of interests. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. Mr. Potter has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Mr. Potter submitted a sworn statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-053 Robert Bynum – Alderman (Hardeman Co.), for failure to timely file a 2016 statement of interests. Class one (1), four days late, maximum one hundred (\$100) civil penalty. Mr. Bynum has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Mr. Bynum submitted a sworn statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-039 James Sneed – Tipton County Commissioner, for failure to timely file a 2016 statement of interests. Class one (1), four days late, maximum one hundred (\$100) civil penalty. Mr. Sneed has had a previous matter before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Mr. Sneed submitted a sworn statement for the board's consideration.

On motion by Hardeman, seconded by Traughber, the board voted unanimously to take no further action in this matter.

2016-011 Boyd Barker – Cannon County Planning Commissioner, for failure to timely file a 2016 statement of interests. Class one (1), five days late, maximum one hundred twenty-five (\$125) civil penalty. Mr. Barker has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Mr. Barker submitted a statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-038 Adrian Smith – Hardeman City Council (Hardeman Co.), for failure to timely file a 2016 statement of interests. Class one (1), five days late, maximum one hundred twenty-five (\$125) civil penalty. Mr. Smith has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-016 Dewayne D. H. Gibson – Alderman of Caryville (Campbell Co.), for failure to timely file a 2016 statement of interests. Class one (1), six days late, maximum one hundred fifty (\$150) civil penalty. Mr. Gibson has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-055 Rick Bennett – Germantown Planning Commissioner (Shelby Co.), for failure to timely file a 2016 statement of interests. Class one (1), seven days late, maximum one hundred seventy-five (\$175) civil penalty. Mr. Bennett has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 21, 2016.

Mr. Bennett submitted a sworn statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-035 Sue Neal – Putnam Planning Commissioner, for failure to timely file a 2016 statement of interests. Class one (1), seven days late, maximum one hundred seventy-five (\$175) civil penalty. Ms. Neal has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 21, 2016.

Ms. Neal submitted a statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-024 Walker L. Jones – Hamilton County Commissioner, for failure to timely file a 2016 statement of interests. Class one (1), 10 days late, maximum two hundred fifty (\$250) civil penalty. Mr. Jones has had previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess Mr. Jones a \$25 civil penalty.

2016-028 David K. Martin – Lenoir City Planning Commissioner (Loudon Co.), for failure to timely file a 2016 statement of interests. Class one (1), 10 days late, maximum two hundred fifty (\$250) civil penalty. Mr. Martin has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Mr. Martin submitted a statement for the board's consideration.

On motion by Stranch, seconded by Hardeman, the board voted unanimously to take no further action in this matter.

2016-097 Isaac Zimmerle – Chapel Hill Planning Commissioner (Marshall Co.), for failure to timely file a 2016 statement of interests. Class one (1), 10 days late, maximum two hundred fifty (\$250) civil penalty. Mr. Zimmerle has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess Mr. Zimmerle a \$25 civil penalty.

2016-056 Hilda Lovell – Cocke County Planning Commissioner, for failure to timely file a 2016 statement of interests. Class one (1), 11 days late, maximum two hundred seventy-five (\$275) civil penalty. Ms. Lovell has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 21, 2016.

Ms. Lovell submitted a statement for the board's consideration.

On motion by Stranch, seconded by Hardeman, the board voted unanimously to take no further action in this matter.

2016-017 Mike Douglas – Lauderdale County Planning Commissioner, for failure to timely file a 2016 statement of interests. Class one (1), 13 days late, maximum three hundred twenty-five (\$325) civil penalty. Mr. Douglas has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess Mr. Douglas a \$25 civil penalty.

2016-010 Glenda F. Atkinson – Lewis County School Board, for failure to timely file a 2016 statement of interests. Class one (1), 14 days late, maximum three hundred fifty (\$350) civil penalty. Ms. Atkinson has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Ms. Atkinson submitted a statement for the board's consideration.

On motion by Stranch, seconded by Hardeman, the board voted unanimously to take no further action in this matter.

2016-066 Michael Watson – Planning Commissioner (Bedford Co.), for failure to timely file a 2016 statement of interests. Class one (1), 21 days late, maximum five hundred twenty-five (\$525) civil penalty. Mr. Watson has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Mr. Watson submitted a sworn statement for the board's consideration.

On motion by Hardeman, seconded by Watson, the board voted unanimously to assess Mr. Watson a \$50 civil penalty.

2016-058 Howard Upchurch – General Sessions Judge (Bledsoe Co.), for failure to timely file a 2016 statement of interests. Class one (1), 23 days late, maximum five hundred seventy-five (\$575) civil penalty. Mr. Upchurch has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 21, 2016.

Mr. Upchurch submitted a statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess Mr. Upchurch a \$50 civil penalty.

2016-067 Walter Goode – Councilman (Bradley Co.), for failure to timely file a 2016 statement of interests. Class one (1), 28 days late, maximum seven hundred (\$700) civil penalty. Mr. Goode has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Stranch, seconded by Watson, the board voted unanimously to assess Mr. Goode a \$75 civil penalty.

2016-07 John Markus, Jr. – Constable (Lawrence Co.), for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Markus has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that the report has been filed but that there has been no response to the notice of civil penalty proceedings.

A motion was made by Stranch to assess Mr. Markus a \$1,000 civil penalty. Stranch then amended the motion, seconded by Hardeman, to assess Mr. Markus a \$100 civil penalty. The motion to assess Mr. Markus a \$100 civil penalty passed the board unanimously.

2016-074 Rose Ogilvie McClain – Planning Commissioner (Maury Co.), for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. McClain has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Ms. McClain filed the required report and submitted a statement for the board's consideration.

On motion by Stranch, seconded by Hardeman, the board voted unanimously to take no further action in this matter.

2016-078 Randy Payne – Alexandria Alderman (DeKalb Co.), for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Payne has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Mr. Payne filed the required report and submitted a statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-080 Anthony Smith – Mayor of Michie (McNairy Co.), for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Smith has had a previous matter before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Mr. Smith filed the required report and submitted a sworn statement for the board's consideration.

On motion by Stranch, seconded by Traugber, the board voted unanimously to take no further action in this matter.

2016-083 Scott Stevens – Putnam County Commissioner, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Stevens has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Mr. Stevens filed the required report and submitted a sworn statement for the board's consideration.

On motion by Hardeman, seconded by Traugber, the board voted unanimously to take no further action in this matter.

2016-084 Clarke Taylor – Cleveland Planning Commissioner (Bradley Co.), for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Taylor has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Mr. Taylor filed the required report and submitted a statement for the board's consideration.

On motion by Stranch, seconded by Traugber, the board voted unanimously to take no further action in this matter.

2016-068 Greg Barber – Constable (Jefferson Co.), for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Barber has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess Mr. Barber a \$1,000 civil penalty.

2016-069 Amy Broyles – Knox County Commissioner, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Broyles has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess Ms. Broyles a \$1,000 civil penalty.

2016-072 Brandon Howard – Watertown Alderman (Wilson Co.), for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Howard has had a previous matter before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Stranch, seconded by Hardeman, the board voted unanimously to assess Mr. Howard a \$1,100 civil penalty.

2016-075 James Mitchell – Normandy Alderman (Bedford Co.), for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Mitchell has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Mr. Mitchell filed the required report and submitted a statement for the board's consideration.

On motion by Hardeman, seconded by Traughber, the board voted unanimously to take no further action in this matter.

2016-076 James Morgan – Haywood County Commissioner, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Morgan has had a previous matter before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Hardeman, seconded by Traughber, the board voted unanimously to assess Mr. Morgan a \$1,500 civil penalty.

2016-077 David Murrell – Planning Commissioner (Putnam Co.), for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Murrell has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess Mr. Murrell a \$1,000 civil penalty.

2016-082 Tonya Stepp – Carroll Co. School Board Member, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Stepp has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess Ms. Stepp a \$1,000 civil penalty.

2016-085 Jerry Taylor – Portland Planning Commissioner (Sumner Co.), for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Taylor has had a previous matter before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Stranch, seconded by Hardeman, the board voted unanimously to assess Mr. Taylor a \$1,100 civil penalty.

2016-086 Mark Triplet – Portland Planning Commissioner (Sumner Co.), for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Triplet has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess Mr. Triplet a \$1,000 civil penalty.

2016-087 Warren G. Walker – Coffee County Commissioner, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Walker has had a previous matter before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Hardeman, seconded by Watson, the board voted unanimously to assess Mr. Walker a \$1,100 civil penalty.

2016-088 Danny E. Wilson – Campbell County School Board Member, for failure to file a 2016 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Wilson has had a previous matter before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings and that the report still has not been filed.

On motion by Hardeman, seconded by Traughber, the board voted unanimously to assess Mr. Wilson a \$1,500 civil penalty.

Informal Civil Penalty Assessment Proceedings – Employers of Lobbyists

2016-089 Docusign, Inc., for failure to timely register as an employer of a lobbyist for 2016. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

The organization submitted a statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-090 HMS, for failure to timely register as an employer of a lobbyist for 2016. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. The organization has had previous matters before the board. (see below)

The notice of civil penalty proceedings was issued March 24, 2016.

The organization submitted a sworn statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-091 HMS, for failure to timely register as an employer of a lobbyist for 2016. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. The organization has had previous matters before the board. (see above and below)

The notice of civil penalty proceedings was issued March 24, 2016.

The organization submitted a sworn statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-093 National College of Business & Technology, for failure to timely register as an employer of a lobbyist for 2016. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

The organization submitted a sworn statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-092 HMS, for failure to timely register as an employer of a lobbyist for 2016. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. The organization has had previous matters before the board. (see above)

The notice of civil penalty proceedings was issued March 24, 2016.

The organization submitted a sworn statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-049 Parent Power Fund, for failure to timely file a 2015 lobbying expenditure report. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

The organization submitted a sworn statement for the board's consideration.

On motion by Stranch, seconded by Hardeman, the board voted unanimously to take no further action in this matter.

2016-062 TN Assn. of Professional Bail Agents, for failure to timely file a 2015 lobbying expenditure report. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. The organization has had a previous matter before the board.

The notice of civil penalty proceedings was issued March 21, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-050 Recovery Corp., for failure to timely file a 2015 lobbying expenditure report. Class one (1), two days late, maximum fifty (\$50) civil penalty. The organization has had previous matters before the board. (see below)

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-052 TN Society for Respiratory Care, for failure to timely file a 2015 lobbying expenditure report. Class one (1), two days late, maximum fifty (\$50) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

The organization submitted a sworn statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-061 Tenzing Energy Solutions, LLC, for failure to timely file a 2015 lobbying expenditure report. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 21, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to take no further action in this matter.

2016-059 Comcast, for failure to timely file a 2015 lobbying expenditure report. Class one (1), four days late, maximum one hundred (\$100) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 21, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Watson, seconded by Traugher, the board voted unanimously to take no further action in this matter.

2016-051 SDP Procurement of Tennessee, for failure to timely file a 2015 lobbying expenditure report. Class one (1), four days late, maximum one hundred (\$100) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

The organization submitted a statement for the board's consideration.

On motion by Stranch, seconded by Watson, the board voted unanimously to take no further action in this matter.

2016-048 Grace Healthcare, LLC, for failure to timely file a 2015 lobbying expenditure report. Class one (1), six days late, maximum one hundred fifty (\$150) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Watson, the board voted unanimously to assess the organization a \$50 civil penalty.

2016-063 Truven Health Analytics, for failure to timely file a 2015 lobbying expenditure report. Class one (1), seven days late, maximum one hundred seventy-five (\$175) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 21, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Watson, the board voted unanimously to assess the organization a \$50 civil penalty.

2016-060 Recovery Corp., for failure to timely file a 2015 lobbying expenditure report. Class one (1), eight days late, maximum two hundred (\$200) civil penalty. The organization has had previous matters before the board. (see above)

The notice of civil penalty proceedings was issued March 21, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Watson, the board voted unanimously to assess the organization a \$50 civil penalty.

2016-094 Epilepsy Foundation of Middle & West TN, for failure to timely file a 2015 lobbying expenditure report. Class one (1), nine days late, maximum two hundred twenty-five (\$225) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 24, 2016.

The organization submitted a statement for the board's consideration.

On motion by Stranch, seconded by Hardeman, the board voted unanimously to take no further action in this matter.

2016-047 eBay, Inc., for failure to timely file a 2015 lobbying expenditure report. Class one (1), 12 days late, maximum three hundred (\$300) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Hardeman, seconded by Watson, the board voted unanimously to assess the organization a \$50 civil penalty.

2016-044 Grand Avenue, for failure to file a 2015 lobbying expenditure report. Class one (1), no report filed, maximum seven hundred fifty (\$750) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

The organization submitted a sworn statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess the organization a \$100 civil penalty.

2016-045 Tennessee Spotlight, for failure to file a 2015 lobbying expenditure report. Class one (1), no report filed, maximum seven hundred fifty (\$750) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Stranch, seconded by Hardeman, the board voted unanimously to assess the organization a \$750 civil penalty.

2016-046 Concord Finance, Inc., for failure to file a 2015 lobbying expenditure report. Class one (1), no report filed, maximum seven hundred fifty (\$750) civil penalty. The organization has had no previous matters before the board.

The notice of civil penalty proceedings was issued March 16, 2016.

The organization submitted a sworn statement for the board's consideration.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to assess the organization a \$100 civil penalty.

Show Cause Hearings – Lobbyists Audits

2015-215 Michael Brown, for failure to timely register as a lobbyist for Boehringer Ingelheim Pharmaceuticals, Inc. in 2014. Class one (1), 201 days late, maximum seven hundred fifty (\$750) civil penalty. Mr. Brown has had no previous matters before the board.

The notice of civil penalty proceedings was issued December 15, 2015.

Mr. Brown provided a statement for the board's consideration. Dick Lodge, representative for Mr. Brown, personally appeared before the board.

On motion by Traughber, seconded by Hardeman, the board voted unanimously to take no further action in this matter.

2015-216 Robert Byrd, for failure to timely register as a lobbyist for AIR EVAC EMS, Inc. in 2014. Class one (1), 78 days late, maximum seven hundred fifty (\$750) civil penalty. Mr. Byrd has had no previous matters before the board.

The notice of civil penalty proceedings was issued October 19, 2015.

Director Rawlins informed the board that there has been no response to the notice of civil penalty proceedings.

On motion by Stranch, seconded by Hardeman, the board voted unanimously to assess Mr. Byrd a \$50 civil penalty.

2015-217 Matthew King, for failure to timely register as a lobbyist for 10 of his employers in 2014. Class one (1), maximum seven hundred fifty (\$750) civil penalty per violation. Mr. King has had no previous matters before the board.

The notice of civil penalty proceedings was issued October 19, 2015.

Mr. King submitted a statement and personally appeared before the board.

On motion by Traughber, seconded by Hardeman, the board voted unanimously to take no further action in this matter.

2015-218 Lynn Williams, for failure to timely register as a lobbyist for American Cancer Society Cancer Action Network, Inc. in 2014. Class one (1), 28 days late, maximum seven hundred fifty (\$750) civil penalty. Mr. Byrd has had no previous matters before the board.

The board voted at its October 9, 2015 meeting to issue Ms. Williams a notice of civil penalty proceedings was issued October 19, 2015.

Ms. Williams personally appeared before the board.

On motion by Traughber, seconded by Watson, the board voted unanimously to take no further action in this matter.

Other Business

2015-204 Beth Gullett – Mitchelville Planning Commission (Sumner Co.), for failure to file a 2015 statement of interests. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Gullett has had no previous matters before the board.

The notice of civil penalty proceedings was issued July 28, 2015.

Director Rawlins informed the board that there has been no response to the civil penalty proceedings.

The board voted at its October 9, 2015 meeting to assess Ms. Gullett a \$1,000 civil penalty assessment.

Ms. Gullett personally appeared before the board, filed the required report and requested a reconsideration of the \$1,000 civil penalty assessment.

On motion by Hardeman, seconded by Stranch, the board voted unanimously to reconsider the assessment and reduce the civil penalty from \$1,000 to \$0.

Director Rawlins provided a draft of the 2015 annual report to the board for approval.

On motion by Stranch, seconded by Traughber, the board voted unanimously to approve the report.

Without objection, the board voted unanimously to adjourn the meeting until the next regularly scheduled meeting.